

The listing of claims presented below replaces all prior versions and listing of claims in the application.

### Listing of Claims

1. (Currently amended) A process for preparing dioxy-functionalized propane compounds of formula  $X-CH_2-CH(Y)-CH_2-Z$  wherein X is selected from the group consisting of  $R-C(=O)-O-$ , H and  $-OH$ , wherein R is an organic group selected from the group consisting of an alkyl group, aryl group, phenyl, tolyl and an organic hydrocarbyl radical comprising an alkyl group with 1 to 6 carbon atoms; Y and Z are selected from the group consisting of H, OH and  $C(=O)H$ , and wherein only one of X, Y and Z is  $-H$  which comprises the steps of:

(a) contacting a vinyl carboxylate with carbon monoxide and hydrogen in a solvent in the presence of transition metal catalyst, to obtain an intermediate product mixture comprising of 3-carboxypropanal and 2-carboxypropanal;

(b) adding water to the intermediate product mixture of 3-carboxypropanal and 2-carboxypropanal to extract the carboxypropanals into water to obtain an aqueous phase comprising of carboxypropanals and an organic phase comprising of transition metal catalyst and separating the aqueous phase from the organic phase;

(c) contacting the aqueous phase comprising of carboxypropanals with hydrogen in presence of a heterogeneous hydrogenation catalyst to obtain a hydrogenation product mixture comprising of 3-carboxypropanol and 2-carboxypropanol in aqueous phase and separating the hydrogenation catalyst from the aqueous phase;

(d) contacting the aqueous phase obtained in (c) comprising of carboxypropanols with a hydrolysis catalyst at a temperature within the range of  $30^{\circ}C$  to  $120^{\circ}C$  at least during a portion of a hydrolysis step to provide a product mixture comprising of 1,3- and 1,2-propanediol and a corresponding carboxylic acid; and (e) separating and recovering carboxylic acid, 1,3- and 1,2-propanediols from the aqueous mixture by distillative separation.

2.(Previously Presented) A process as claimed in claim 1 wherein the organic phase obtained in

3. (Previously Presented) A process as claimed in claim 1 wherein step (a) above is carried out in the presence of a promoter or a ligand and at a temperature in the range of 50° C to 140° C and a pressure in the range of 500 psi to 5000 psi.
4. (Previously Presented) A process as claimed in claim 1 wherein step (b) is carried out at a temperature of not more than 100° C.
5. (Previously Presented) A process as claimed in claim 1 wherein the aqueous phase of carboxypropanals is separated from the organic phase comprising transition metal by phase separation.
6. (Previously Presented) A process as claimed in claim 1 wherein step (c) is carried out at a minimum temperature of 40° C and at least for a period of 30 min, while optionally increasing the reaction temperature to 80° C and hydrogen pressure of at least 200 psi.
7. (Previously Presented) A process as claimed in claim 1 wherein the aqueous phase comprising carboxypropanols in step (c) is separated from the hydrogenation catalyst by filtration, decantation or centrifugation.
8. (Previously Presented) A process as claimed in claim 1 wherein the transition metal catalyst used in step (a) comprises a Group VIII transition metal catalyst selected from the group consisting of rhodium, ruthenium, iridium, cobalt, palladium and nickel.
9. (Previously Presented) A process as claimed in claim 1 wherein the transition metal catalyst is selected from the group consisting of cobalt carbonyl, rhodium carbonyl and any mixture thereof.
10. (Previously Presented) A process as claimed in claim 1 wherein the transition metal catalyst is modified by a ligand comprising an organic compound of a Group V element selected from the

group consisting of triaryl-phosphines, trialkyl-phosphines, arsines and amines.

11.(Previously Presented) A process as claimed in claim 1 wherein the catalyst of step (a) is used in the form of metal, supported metal, hydroxide, oxide, carbonate, sulfate, acetylacetonate, salt of a carboxylic acid, and an aqueous salt solution.

12.(Previously Presented) A process as claimed in claim 1 wherein the catalyst of step (a) is used directly in the form of cobalt carbonyl or rhodium carbonyl selected from the group consisting of dicobalt octacarbonyl, cobalt hydridocarbonyl, tetrarhodium dodecacarbonyl, and dicarbonylacetylaceto- natorhodium.

13. (Previously Presented) A process as claimed in claim 1 wherein the catalyst of step (a) is used in the form of an organometallic complex of the transition metals and ligands selected from the group consisting of  $\text{HRh}(\text{CO})(\text{PPh}_3)_3$  and  $\text{HCo}(\text{CO})_3(\text{PBu}_3)$ .

14.(Previously Presented) A process as claimed in claim 1 wherein the catalyst of step (a) in carbonyl form is formed in situ through the reaction of the metal with  $\text{H}_2$  and  $\text{CO}$ , and at a temperature of at least  $50^\circ\text{C}$  and a carbon monoxide partial pressure of at least about 100 psi.

15.( Previously Presented) A process as claimed in claim 1 wherein the temperature for in situ catalyst formation is in the range of  $120^\circ\text{C}$  to  $200^\circ\text{C}$ , and the  $\text{CO}$  pressure is at least about 500 psi.

16. (Previously Presented) A process as claimed in claim 1 wherein high surface area activated carbon or zeolite containing or supporting platinum or palladium metal are added to the reactor to form cobalt carbonyl from noncarbonyl precursors.

17. (Previously Presented) A process as claimed in claim 1 wherein the amount of transition metal present in the reaction mixture is in the range of 0.01 to 1 wt % based on weight of reaction mixture.

18.(Previously Presented) A process as claimed in claim 17 wherein the amount of transition metal present in the reaction mixture is in the range of 0.05 to 0.3 wt %, based on weight of reaction mixture.

19. (Previously Presented) A process as claimed in claim 1 wherein the cobalt catalyst is present in the range of 0.005 to 0.5 wt % based on the weight of the reaction mixture.

20. (Previously Presented) A process as claimed in claim 19 wherein the cobalt catalyst is present in the range of 0.01 to 0.1 wt % based on the weight of the reaction mixture.

21. (Previously Presented) A process as claimed in claim 1 wherein the hydroformylation catalyst in step (a) comprises a cobalt complex selected from the group consisting of cobalt acetate, cobalt hydroxide, cobalt oxide, cobalt carbonate, cobalt sulfate, cobalt acetylacetonate, cobalt carboxylate, dicobalt octacarbonyl cobalt hydridocarbonyl and  $\text{HCo}(\text{CO})_3(\text{PBU}_3)$ .

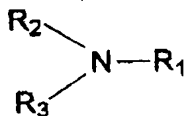
22. (Previously Presented) A process as claimed in claim 1 wherein the hydroformylation catalyst in step (a) comprises a rhodium complex selected from the group consisting of rhodium acetate, rhodium hydroxide, rhodium oxide, rhodium carbonate, rhodium sulfate, rhodium acetylacetonate, rhodium carboxylate, tetrarhodium dodecacarbonyl, dicarbonylacetylacetonatorrhodium and an organometallic complexes of the transition metals and a ligand comprising  $\text{HRh}(\text{CO})(\text{PPh})_3$ .

23.(Previously Presented) A process as claimed in claim 21 wherein the cobalt carbonyl catalyst includes a lipophilic ate promoter in an amount effective to promote the hydroformylation reaction to acetoxypromanal.

24.(Previously Presented) A process as claimed in claim 21 wherein the lipophilic amine promoter is present in an amount in the range of 0.01 to 9 moles based on cobalt.

25 (Previously Presented) A process as claimed in claim 24 wherein the lipophillic amine promoter is present in an amount in the range of 0.6 to 3 moles based on cobalt.

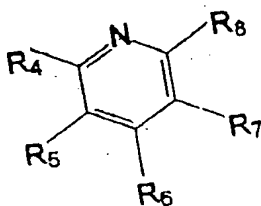
26. (Previously Presented) A process as claimed in claim 24 wherein the lipophillic amine is of the formula



wherein each of R<sub>1</sub>, R<sub>2</sub> and R<sub>3</sub> are independently selected from hydrogen and/or unsubstituted and non-interfering substituted C<sub>1</sub>-C<sub>25</sub> hydrocarbons.

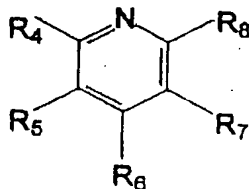
27. (Previously Presented) A process as claimed in claim 24 wherein the amine is selected from the group consisting of dimethyl amine, diethyl amine, n-butyl amine, di-n-butyl amine, sec-butyl amine, di-sec-butyl amine, n-hexyl amine, di-n-hexyl amine, octyl amine, di(2-ethylhexyl) amine, dodecyl amine, steryl amine, allyl amine, diallyl amine, crotyl amine, dicrotyl amine, cyclopentyl amine, dicyclopentyl amine, dicyclohexyl amine, benzyl amine, dibenzyl amine, phenyl ethyl amine, diphenyl amine, cinnamyl amine, dicinnamyl amine, aniline, o-, m- and p- toluidines, 1,2,3-xylylidine, 1,2,4- xylylidines, 1,3,5-xylylidines, 1,3,4-xylylidine, mesidine, pacudocumidine, monoethyl aniline, benzyl aniline, pyrrole, guanidine, triethyl amine, trimethyl amine, tributyl amine, trihexyl amine, triphenyl amine and diphenyl methyl amine.

28.(Previously Presented) A process as claimed in claim 24 wherein two of R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> form a ring structure of the formula



wherein each of R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub> and R<sub>7</sub> is dependently selected from hydrogen and C<sub>1</sub> - C<sub>25</sub> hydrocarbons.

29.(Previously Presented) A process as claimed in claim 24 wherein two of R<sub>1</sub>, R<sub>2</sub>, and R<sub>3</sub> form a ring structure of formula



wherein each of R<sub>4</sub>, R<sub>5</sub>, R<sub>6</sub>, and R<sub>7</sub> is independently selected from hydrogen and C<sub>1</sub>-C<sub>25</sub> hydrocarbons.

30. (Previously Presented) A process as claimed in claim 24 wherein the promoter is a non-chelating amine of a conjugate acid having a pK<sub>a</sub> of about 5 to 11 selected from tertiary amines selected from the group consisting of dimethyldodecylamine, pyridine, 4-(1-butylpentyl)pyridine, quinoline, isoquinoline, lipdine and quinaldine.

31. (Previously Presented) A process as claimed in claim 30 wherein the promoter is pyridine.

32. (Previously Presented). A process as claimed in claim 24 wherein the vinyl carboxylate is of the formula R--C(=O)--O--CH=CH<sub>2</sub>. where R is an organic group selected from the group consisting of an alkyl group, aryl group, and phenyl, tolyl an organic hydrocarbyl radical comprising an alkyl group with 1 to 6 carbon atoms.

- 33.(Previously Presented) A process as claimed in claim 24 wherein the vinyl carboxylate is a residue of an organic acid selected from the group consisting of formic acid, acetic acid, propionic acid and benzoic acid.
- 34.(Previously Presented) A process as claimed in claim 24 wherein the vinyl carboxylate is vinyl acetate.
35. (Previously Presented) A process as claimed in claim 24 wherein the vinyl carboxylate is present at the start of the hydroformylation step in a concentration in the range of 0.01% to 95% by weight based on the total weight of reactants, catalyst and liquid medium present.
- 36.(Previously Presented) A process as claimed in claim 24 wherein the vinyl carboxylate is present at the start of the hydroformylation step in a concentration in the range of 0.5% to 75%, by weight based on the total weight of reactants, catalyst and liquid medium present.
- 37.(Previously Presented) A process as claimed in claim 24 wherein the carboxylic acid, corresponding to the vinyl carboxylate used, recovered in step (e) is recycled to produce the vinyl carboxylate starting material.
38. (Previously Presented) A process as claimed in claim 24 wherein step (a) is carried out with a cobalt/rhodium catalyst and a ligand comprising a nitrogen containing ligand selected from the group consisting of triphenyl amine, triethyl amine, tricyclohexyl amine, pyridine and substituted pyridine.
39. (Previously Presented) A process as claimed in claim 38 wherein the ligand is 4-methyl pyridine.
- 40.(Previously Presented) A process as claimed in claim 24 wherein the ligand used with the cobalt/rhodium catalyst in step (a) comprises a phosphorous, arsine or stilbine containing ligand selected from the group consisting of triphenyl phosphine, tributyl phosphine, triphenyl arsine and

tryphenyl stilbine.

41. (Previously Presented) A process as claimed in claim 24 wherein the ligand used with the cobalt/rhodium catalyst in step (a) comprises a polydentate ligand selected from the group consisting of diphenyl phosphinoethane, diphenyl phosphinopropane, diphenyl phosphinobutane, diphenyl phosphinopentane, bipyridine and terpyridine.

42. (Previously Presented) A process as claimed in claim 24 wherein the cobalt/rhodium catalyst used in step (a) is used along with a promoter comprising a quaternary ammonium salt selected from the e group consisting of tetra alkyl ammonium halide and tetra alkyl ammonium hydroxide.

43. (Previously Presented) A process as claimed in claim 24 wherein the promoter used in step (a) along with cobalt/rhodium catalyst comprises a quaternary phosphonium salt selected from the group consisting of tetra alkyl phosphonium halide and tetra alkyl phosphonium hydroxide.

44.(Previously Presented) A process as claimed in claim 24 wherein the ligand used in step (a) along with cobalt/rhodium catalyst comprises an optically active ligand in order to obtain optically active-chiral 2-acetoxy propanal.

45.(Previously Presented) A process as claimed in claim 24 wherein the solvent used in step (a) comprises an aliphatic hydrocarbon selected from the group consisting of hexane, cyclohexane and decane.

46.(Previously Presented) A process as claimed in claim 24 wherein the solvent of step (a) comprises an aromatic hydrocarbon selected from the group consisting of benzene, toluene and xylene.

47.(Previously Presented) A process as claimed in claim 24 wherein the solvent of step (a) is toluene.



48. (Previously Presented) A process as claimed in claim 24 wherein the solvent used in step (a) is selected from the group consisting of high molecular weight ethers, polyethers comprising glycol polyethers, and cyclic ethers comprising diethyl ether, methyl-t-butyl ether, and ethyl-t-butyl ether.

49. (Previously Presented) A process as claimed in claim 24 wherein the solvent used in step (a) is selected from the group consisting of amides and sulfolanes.

50. (Previously Presented) A process as claimed in claim 24 wherein the solvent used in step (a) comprises a chlorinated solvent selected from the group consisting of dichloromethane, dichloroethane, chlorobenzene and dichlorobenzene.

51. (Previously Presented) A process as claimed in claim 24 wherein the solvent used in step (a) is selected from the group consisting of an alcohol, a ketone, and an ester or a mixture thereof.

52. (Previously Presented) A process as claimed in claim 24 wherein the solvent used in step (a) comprises a solvent blend selected from the group consisting of tetrahydrofuran/toluene, tetrahydrofuran/heptane, and t-butylalcohol/hexane.

53. (Previously Presented) A process as claimed in claim 24 wherein the solvent used in step (a) is a mixture of a non-miscible hydrocarbon solvent comprising toluene and an aqueous solvent comprising water, enabling the separation of products obtained in aqueous phase during the course of the reaction.

54. (Previously Presented) A process as claimed in claim 24 wherein the hydroformylation reaction in step (a) is carried out at a temperature of about 140° C, and at a pressure within the range of about 500 to about 5000 psi.

55. (Previously Presented) A process as claimed in claim 24 wherein the hydroformylation reaction

in step (a) is carried out at a temperature in the range of 90°C to 130° C.

56.(Previously Presented) A process as claimed in claim 24 wherein the hydroformylation reaction in step (a) is carried out at a temperature in the range of 100°C to 120°C.

57. (Previously Presented) A process as claimed in claim 24 wherein the hydroformylation reaction in step (a) is carried out at a pressure in the range of 500 to 5000 psi.

58.(Previously Presented) A process as claimed in claim 24 wherein the hydroformylation reaction in step (a) is carried out at a pressure in the range of 1000 to 3500 psi.

59 (Previously Presented). A process as claimed in claim 24 wherein step (a) is carried out at temperature in the range of 90 to 120°C.

60.(Previously Presented) A process as claimed in claim 24 wherein the hydrogen and carbon monoxide are introduced in the reaction in a molar ratio in the range of 1:2 to 8:1.

61.(Previously Presented) A process as claimed in claim 24 wherein CO and H<sub>2</sub> are used in step (a) in a ratio in the range of 4:1 to 1:4.

62. (Previously Presented) A process as claimed in claim 24 wherein the rhodium catalyzed hydroformylation of vinyl carboxylate includes a phosphorous, nitrogen, arsine or stilbine containing ligand selected from the group consisting of monodentate ligands.

63. (Previously Presented) A process as claimed in claim 24 wherein the reaction is carried out in the presence of an optically active ligand to obtain optically active 2-acetoxypromanal.

64. (Previously Presented) A process as claimed in claim 24 wherein the ratio of the ligand is at least one equivalent of ligand per mole of metal in the catalyst.

65. (Previously Presented) A process as claimed in claim 24 wherein water is added in step (b) as to provide a water: hydroformylated mixture ratio in the range of 1:1 to 1:20.
66. (Previously Presented) A process as claimed in claim 24 wherein the water extraction is carried out at a temperature in the range of 25°C to 55 °C and under 50 to 300 psi carbon monoxide.
67. (Previously Presented) A process as claimed in claim 24 wherein the hydroformylation is carried out using reverse biphasic system in continuous mode, wherein water is added as a co-solvent during the hydroformylation in step (a) to create a separate phase thereby enabling extraction during the hydroformylation step.
- 68.(Previously Presented) A process as claimed in claim 24 wherein the aqueous extract containing acetoxypropanals obtained in step (c) is passed through a bed of purifying agents.
69. (Previously Presented) A process as claimed in claim 68 wherein the purifying agent is purifying carbon.
70. (Previously Presented) A process as claimed in claim 24 wherein the hydrogenation of the acetoxypropanals in step (c) to acetoxypropanols is carried out in aqueous solution at an elevated temperature of at least about 40°C, and under a hydrogen pressure of at least about 100 psi.
- 71.(Previously Presented) A process as claimed in claim 24 wherein the hydrogenation is carried out in the presence of a hydrogenation catalyst selected from the group consisting of group VIII metals based catalysts selected from Ni, Co, Ru, Pt, Pd based catalysts and Cu, Zn, Cr, Au based catalysts including bulk, supported and fixed bed forms.
- 72.(Previously Presented) A process as claimed in claim 24 wherein the hydrogenation catalyst of step (c) is a supported catalyst selected from the group consisting of ruthenium, nickel, cobalt,

platinum and palladium catalyst.

73.(Previously Presented) A process as claimed in claim 24 wherein the temperature of the hydrogenation reaction of step (c) is in the range of 40°C to 90°C.

74.(Previously Presented) A process as claimed in claim 24 wherein the hydrogenation catalyst separated in step (c) is recycled.

75. (Previously Presented) A process as claimed in claim 24 wherein the hydrogenation reaction of step (c) is carried out in a continuous manner.

76.(Previously Presented) A process as claimed in claim 24 wherein the hydrogenation catalyst in step (c) is Ru/Al<sub>2</sub>O<sub>3</sub>.

77.(Previously Presented) A process as claimed in claim 24 wherein the hydrogenation catalyst in step (c) is Raney Ni.

78. (Previously Presented) A process as claimed in claim 24 wherein the hydrogenation is carried out in the same solvent of hydroformylation, after removal of the hydroformylation catalyst by complexation or using activated charcoal/activated silica.

79.(Previously Presented) A process as claimed in claim 24 wherein the hydrogen pressure of step (c) is in the range of 200 psig to 800 psig.

80. (Previously Presented) A process as claimed in claim 24 wherein the hydrolysis of step (d) is carried out in the presence of an acid or base catalysts for ester hydrolysis, selected from the group consisting of mineral acids, sulphonic acids, ion exchange resins, solid acids comprising ZSM-5 etc., heteropolyacids, carboxylic acids, sodium hydroxide and potassium hydroxide.

81. (Previously Presented) A process as claimed in claim 24 wherein the temperature of the hydrolysis reaction for an ion exchange resin catalyzed hydrolysis reaction is in the range of from room temperature to 120°C.

82. (Previously Presented) A process as claimed in claim 24 wherein the ion exchange resin used is Amberlite IR-120 cation exchange resin for the hydrolysis of a mixture of 2- and 3-acetoxypropanols to 1,2- and 1,3-propanediols.

83. (Previously Presented) A process as claimed in claim 24 wherein the hydrolysis in step (d) is carried out with 10% HCl.

84. (Previously Presented) A process as claimed in claim 24 wherein the hydrolysis step (d) is carried out in a continuous manner.

85. (Previously Presented) A process as claimed in claim 24 wherein the hydrolysis catalyst from step (d) is activated and recycled.

86. (Previously Presented) A process as claimed in claim 24 wherein the carboxylic acid, 1,3-propanediol, and 1,2-propanediol formed are separated by fractional distillation in step (e).

87. (Previously Presented) A process as claimed in claim 24 wherein the hydrolysis in step (d) is carried out before hydrogenation of step (c) in order to obtain 2- and 3-hydroxy propanal.

88. (Previously Presented) The process according to claim 48 wherein the ether is methyl-t-butyl ether.

89. (Previously Presented) The process according to claim 60 wherein the hydrogen and carbon monoxide are introduced in the reaction in a molar ratio in the range of 1.5:1 to 5:1.

90. (Previously Presented) The process according to claim 24 wherein the water: hydroformylated mixture ratio is in the range of 1:5 to 1:15.
91. (Previously Presented) The process according to claim 24 wherein the hydrogenation of the acetoxy propanals in step (c) to acetoxy propanols is carried out in aqueous solution at an elevated temperature in the range of 50°C to 175°C.
92. (Previously Presented) The process according to claim 24 wherein the hydrogenation of the acetoxy propanals in step (c) to acetoxy propanols is carried out in aqueous solution under a hydrogen pressure of in the range of 200 to 2000 psi.
93. (Previously Presented) The process according to claim 24 wherein the temperature of hydrolysis reaction for an ion exchange resin catalyzed hydrolysis reaction in the range of 50°C to 80°C.
94. (Previously Presented). The process as claimed in claim 62 wherein the monodentate ligand is selected from the group consisting of triphenyl phosphine, tributyl phosphine, triphenyl amine, triphenyl arsine, triethyl amine, tricyclohexyl amine, and pyridine.
95. (Previously Presented) The process according to claim 62 wherein the ligand is a substituted pyridine.
96. (Previously Presented) The process according to claim 95 wherein the substituted pyridine is 4-methyl pyridine.
97. (Previously Presented) The process according to claim 62 wherein the polydentate ligand is selected from the group consisting of diphenyl phosphinoethane, diphenyl phosphinopropane, diphenyl phosphinobutane, diphenyl phosphinopentane, bipyridine, and terpyridine.